

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Levergood et al.
Serial No.: 09/548235
Filing Date: April 12, 2000
For: Web Advertising Method
Art Unit: 2145
Examiner: Patrice L. Winder

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

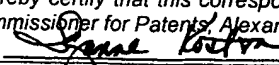
This information disclosure statement is submitted in compliance with 37 CFR 1.56; 1.97 and 1.98. Applicants respectfully request that the listed items be considered and made of record in the present application by completing and returning the enclosed Form PTO-1449.

The Commissioner is authorized to charge the fee for entry of this Information Disclosure Statement to Jones Day's Deposit Account, No. 501432, Reference No. 432383600011. An extra copy of this transmittal letter is enclosed for your convenience in processing the charge.

Respectfully submitted,


David B. Cochran, Esq., Reg. No. 39,142
JONES DAY
North Point
901 Lakeside Avenue
Cleveland, Ohio 44114
(216) 586-3939

July 20, 2006

<i>Certificate of Mailing</i>	First Class
I hereby certify that this correspondence is being deposited with the United States Postal Service via Express mail addressed to: Commissioner for Patents, Alexandria, Virginia 22313 on the date indicated below.	
By: 	/Suzanne Koston on July <u>20</u> , 2006.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/548235
Filing Date	April 12, 2000
First Named Inventor	Levergood et al.
Art Unit	2145
Examiner Name	Patrice L. Winder
Attorney Docket Number	432383-600011

U.S. PATENT DOCUMENTS

[illegible]

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		JP 3278230	12/9/1991	Fuji Xerox Co. Ltd.		
		JP 5274275	10/22/1993			
		JP 6162059	6/10/1994	Shitei Banku N EE		
		JP 6291776	10/18/1994	Toyo Communication Equip.		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Ohmori et al., "An On-line Shopping System Protecting User's Privacy", Information Communication Laboratory of Matsushita Electric Industrial Co., Ltd., pages 25 – 32. Note: 12 Pages of Translation Attached.
Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Levergood et al.
Serial No.: 09/548235
Filing Date: 4/12/2000
For: Web Advertising Method
Art Unit: 2145
Examiner: Patrice L. Winder

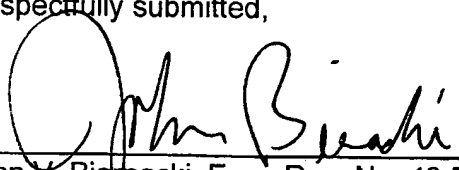
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Statement is submitted in compliance with 37 C.F.R. §1.56. A list of patent(s) and/or publication(s) is set forth on the attached Form PTO-1449. Applicant respectfully requests that these references be considered and made of record in the present application.

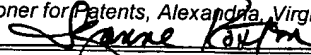
No fee is believed to be due for entry of this Information Disclosure Statement. However, if any fee should be required, please charge such fee to Jones Day's Deposit Account No. 501432, Reference No. 432383600011.

Respectfully submitted,



John V. Biernacki, Esq., Reg. No. 40,511
JONES DAY
North Point
901 Lakeside Avenue
Cleveland, Ohio 44114
(216) 586-3939

November 17, 2005

<u>Certificate of Mailing</u>
<i>I hereby certify that this correspondence is being deposited with the United States Postal Service via express mail addressed to:</i> <i>Commissioner for Patents, Alexandria, Virginia 22313 on the date indicated below.</i>
By:  /Suzanne Koston on November 17, 2005

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/548235
				Filing Date	4/12/2000
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
Sheet	1	of	1	Attorney Docket Number	432383600011
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date/Date of Patent MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5347632	9/13/1994	Filepp et al.	
		5544322	8/6/1996	Cheng et al.	
		5560008	9/24/1996	Johnson et al.	
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		"It will happen", article excerpt from infoHighway, vol. 2-1, January 1995.			
		Aronson, Dan et al., Electronic mail to multiple recipients of the www-talk list (www-talk@info.cern.ch) on "Access and session control" dated September 15, 1994.			
		Bina, et al., <i>Secure Access to Data over the Internet</i> , 1994, pp. 99-102, IEEE.			
		Derler, Christian, <i>The World-Wide Gateway to Hyper-G: Using a Connectionless Protocol to Access Session-Oriented Services</i> , Institut für Informationsverarbeitung und Computergestützte neue Medien, Graz, Austria, dated March 1995.			
		English, Joe, Electronic mail to multiple recipients of the www-talk list (www-talk@info.cern.ch) on Re: Identifying Mosaic session" dated December 20, 1994.			
		Fielding, Roy, Software distribution archive for the HTTP log file analysis program, wwwstat v1.01, dated April 23, 1994, published at http://www.ics.usi.edu/WebSoft/wwwstat/			
		Hall, Devra, et al., <i>Build a Web Site: The Programmer's Guide to Creating, Building, and Maintaining a Web Presence</i> , Published April 1995, ISBN 0-7615-0064-2.			
		Hughes, Kevin, source code file for the HTTP log file analysis program, getstats v1.0, dated February 1, 1994, published at http://www.eit.com/software/getstats/getstats.html .			
		McCartney, Todd, Message posted to Usenet public discussion group, rec.arts.disney, dated November 21, 1994.			
		Motoda, Toshihiro et al., <i>An Experimental Verification of Relational Database Access Over WWW</i> , NTT Software Laboratories, Nippon Telegraph and Telephone Corporation, 1995, pgs. 47-54 (with English Translation - 8 pages)			
		Pitkow, et al., <i>Using the Web as a Survey Tool: Results from the Second WWW User Survey</i> , presented at the Third International World-Wide Web Conference, April 10-14, 1995, Darmstadt, Germany, published at http://www.igd.fhn.de/archive/1995/WWW95/proceedings/papers/79survey/survey 2 paper.html and http://www.elsevier.nl/www3/welcome.html ; and reprinted in the Journal of Computer Networks and ISDN Systems, Vol. 27, no. 6, April 1995, Elsevier Science B.V.			
		Pitkow, et al., <i>Results from the First World Wide Web Use Survey</i> , presented at the First International Conference on the World Wide Web, Geneva Switzerland, May 25-27, 1994, published at http://www94.web.cern.ch/WWW94/PrelimProcs.html on June 2, 1994, and reprinted in the Journal of Computer Networks and ISDN Systems, Vol. 27, no. 2, Nov. 1994, Elsevier Science B.V.			
		The NetMarket Company, <i>NetMarket PGP Help</i> file, from http://www.netmarket.com , dated December 10, 1994.			
		Trewitt, Glenn, <i>Using Tcl to Process HTML Forms</i> , Digital Equipment Corporation, Network Systems Laboratory TN-14, dated May 1994.			
Examiner Signature				Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/548235
				Filing Date	April 12, 2000
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
				Attorney Docket Number	432383600011
Sheet	1	of	8		
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date/Date of Patent MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4305059	12/18/1981	Benton	
		4528643	7/9/1985	Freeny, Jr.	
		4529870	7/16/1986	Chaum	
		4734858	3/29/1988	Schlaflly	
		4755940	7/5/1988	Brachtl et al.	
		4759063	7/19/1988	Chaum	
		4759064	7/19/1988	Chaum	
		4775935	10/4/1988	Yourick	
		4795890	1/3/1989	Goldman	
		4799156	1/17/1989	Shavit et al.	
		4812628	3/14/1989	Boston et al.	
		4827508	5/2/1989	Shear	
		4891503	1/2/1990	Jewell	
		4922521	5/1/1990	Krikke et al.	
		4926480	5/15/1990	Chaum	
		4935870	6/19/1990	Burk Jr., et al.	
		4947028	8/7/1990	Gorog	
		4947430	8/7/1990	Chaum	
		4949380	8/14/1990	Chaum	
		4972318	11/20/1990	Brown et al.	
		4982346	1/1/1991	Girouard et al.	
		4987593	1/22/1991	Chaum	
		4991210	2/5/1991	Chaum	
		4992940	2/12/1991	Dworkin	
		4996711	2/26/1991	Chaum	
		5025373	6/18/1991	Keyser Jr., et al.	
		5060153	10/22/1991	Nakagawa	
		5077607	12/31/1991	Johnson et al.	
		5105184	4/14/1992	Pirani et al.	
		5220501	6/15/1993	Lawlor et al.	
		5247575	9/21/1993	Sprague et al.	
		5276736	1/4/1994	Chaum	
		5305195	4/19/1994	Murphy	
		5311594	5/10/1994	Penzias	
		5319542	6/7/1994	King Jr., et al.	
		5321751	6/14/1994	Ray et al.	
		5336870	8/9/1994	Hughes et al.	
		5341429	8/23/1994	Stringer et al.	
		5351186	9/27/1994	Bullock et al.	
		5383113	1/17/1995	Kight et al.	
		5414833	5/9/1995	Hershey et al.	
		5475585	12/12/1995	Bush	
Examiner Signature		Date Considered			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/548235
				Filing Date	April 12, 2000
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
				Attorney Docket Number	432383600011
Sheet	2	of	8		

[illegible]

Date	
Considered	

CLI-1395352v1

Substitute for form 1449A/PTO				Complete if Known <table border="1"> <tr> <td>Application Number</td> <td>09/548235</td> </tr> <tr> <td>Filing Date</td> <td>April 12, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Levergood et al.</td> </tr> <tr> <td>Art Unit</td> <td>2145</td> </tr> <tr> <td>Examiner Name</td> <td>Patrice L. Winder</td> </tr> <tr> <td>Attorney Docket Number</td> <td>432383600011</td> </tr> </table>		Application Number	09/548235	Filing Date	April 12, 2000	First Named Inventor	Levergood et al.	Art Unit	2145	Examiner Name	Patrice L. Winder	Attorney Docket Number	432383600011
Application Number	09/548235																
Filing Date	April 12, 2000																
First Named Inventor	Levergood et al.																
Art Unit	2145																
Examiner Name	Patrice L. Winder																
Attorney Docket Number	432383600011																
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>																	
Sheet	3	of	8														

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date -MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^d
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		0:172670EP	2/26/1986	Technion Research & Development Foundation		
		GB 2102606	2/2/1983	National Research Development Corporation		
		JP 410191	1/1992			
		JP 05-158963	6/25/1993	Omron Corp.		
		WO 91/16691	10/31/1991	Jonhig Limited		
		WO 93/10503	5/27/1993	Citibank N.A.		

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PLEADINGS:	
		<u>Amazon.com's Reply in Support of Renewed Motion to Stay</u>	
		Deposition of G. Winfield Treese, dated October 27, 2004.	
		<u>Deposition of Glenn Arthur Hauman with Exhibits (October 28, 2004)</u>	
		<u>Joint Claim Construction Chart (Patent Local Rule 4-5D)), filed December 27, 2004, with Appendix A.</u>	
		<u>Memorandum Opinion dated April 7, 2005</u>	
		<u>Motion to Stay [Renewed] by Amazon.com. (Attachments: # 1 Affidavit # 2 Text of Proposed Order)(Nelson, Justin)</u> (Entered: 04/05/2005)	
		<u>Notice by Amazon.com re Answer to Amended Complaint, Counterclaim Of Rejection Of Claims 1-45 Of U.S. Patent No. 5,708,780</u>	
		<u>Order Denying Amazon's Motion to Stay Proceedings Pending Completion of the Reexamination.</u>	
		<u>Soverain's Disclosure of Asserted Claims and Preliminary Infringement Contentions dated June 3, 2004.</u>	
		<u>Soverain's Opposition to Amazon's Renewed Motion to Stay</u>	
		<u>Soverain's Reply to Amazon.Com's Amended Counterclaims, dated January 14, 2005.</u>	
		<u>Soverain's Reply to Response to Motion re: Motion to Stay</u>	
		<u>Soverain's Answer to Counterclaim (Amazon's Third Amended Counterclaim) by Soverain Software LLC.(Seraphine, Jennifer) (Entered:03/17/2005)</u>	
		<u>Soverain's Fourth Supplemental Responses to Amazon's First Set of Interrogatories (Nos. 1-14) dated March 21, 2005</u>	
		<u>Soverain's Responses to Amazon's First Set of Requests for Admission to Plaintiff Soverain Software (Nos. 1-100) dated March 21, 2005</u>	
		<u>Soverain's Responses to Interrogatory Nos. 22, 23, 26 and 36 of Amazon's Third Set of Interrogatories (Nos. 17-38) dated March 21, 2005</u>	
		<u>Third Supplement to Defendant Amazon's Initial Disclosures, dated March 4, 2005.</u>	
		<u>Transcript of the Markman Hearing Before the Honorable Leonard David United States District Judge, January 6, 2005.</u>	
		<u>VideoTaped Deposition of Andrew Payne dated March 11, 2005.</u>	
		<u>Videotaped Deposition of Glenn Crocker with Exhibits (March 10, 2005)</u>	
		<u>VideoTaped Deposition of Glenn Trewitt dated January 25, 2005 (2 parts).</u>	
		<u>VideoTaped Deposition of Mark Levergood dated March 8, 2005 (2 Parts).</u>	
		<u>VideoTaped Deposition of Stephen Morris dated March 9, 2005.</u>	
Examiner Signature			Date Considered

CLI-1395352v1

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/548235
				Filing Date	April 12, 2000
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
				Attorney Docket Number	432383600011
Sheet	4	of	8		
<p>Amazon.com Inc.'s Unopposed Motion for Leave to Amend its Answer to Include Allegations Regarding Stuff.com</p> <p>Amazon.com's Motion for Partial Summary Judgment that claims are indefinite under 35 U.S.C. 112</p> <p>Amazon.com's Motion for Partial Summary Judgment that '314 claims 34-39, '492 claims 17-18 and 35-36, and '780 claims 1, 4, and 22-24 are invalid under 35 U.S.C. 102</p> <p>Declaration of James E. Geringer in Support of Amazon.com, Inc.'s Motion for Leave to Amend its Answer and Counterclaims to Add Stuff.com</p> <p>Deposition of Glenn M. Trewitt with Exhibits (January 25, 2005)</p> <p>Deposition of Joshua Smith with Exhibits (March 2, 2005)</p> <p>Deposition of Kevin Ming-Wei Kadaia Hughes with Exhibits (March 21, 2005)</p> <p>Deposition of Michael Lazzaro with Exhibits (March 9, 2005)</p> <p>Deposition of Thomas Soulanille with Exhibits (March 14, 2005)</p> <p>Exhibit 1 of Geringer Declaration: Excerpts of Deposition of Michael Kuniavsky</p> <p>Exhibit 2 of Geringer Declaration: E-mail from Brooks Cutter to Mike Kuniavsky (June 14, 1994)</p> <p>Exhibit 3 of Geringer Declaration: Excerpts of Deposition of Richard Boake</p> <p>Exhibit 5 of Geringer Declaration: Excerpts of Deposition of Andrew Payne</p> <p>Exhibit 6 of Geringer Declaration: E-mail from Andrew Payne to Winfield Treese, et al. (June 15, 1994)</p> <p>Exhibit 7 of Geringer Declaration: Excerpts of Deposition of Winfield Treese</p> <p>Exhibit 8 of Geringer Declaration: Amazon.com, Inc.'s [Proposed] fourth Amended Answer, Affirmative Defenses and Counterclaims to Sovereign Software, LLC's Complaint (Redlined Version)</p> <p>Supplemental Disclosure of Preliminary Invalidity Contentions by Amazon and Gap dated July 26, 2004.</p> <p>Videotaped Deposition of Guy Henry Timothy Haskin with Exhibits (March 18, 2005)</p> <p>Videotaped Deposition of Michael Kuniavsky with Exhibits (February 22, 2005)</p> <p>Videotaped Deposition of Phillip Hallam-Baker with Exhibits (March 11, 2005)</p> <p>Videotaped Deposition of Robert Allen Olson with Exhibits (March 3, 2005)</p> <p>PUBLICATIONS:</p> <p>"Advanced Electronic Credit Authorization Through the Amherst Group SNET", News Release, pp. 1-2.</p> <p>"CompuServe Videotex Network Offers Marketing Research Service Ad Test," Marketing News, Nov. 25, 1983 p. 21.</p> <p>"Electronic In-Home Shopping: "Our Stores are Always Open", " Chain Store Age Executive, Mar. 1985, pp. 111,116.</p> <p>"Mail Offers Holiday Treat for Hackers," Advertising Age, Nov. 13, 1985, p. 76.</p> <p>"Redcoats Join Communications Fight," Industry Week, Feb. 22, 1982, pp. 108-109.</p> <p>"Suddenly, Videotex is Finding an Audience," Business Week, Oct. 19, 1987, pp. 92-94.</p> <p>"Taking Advantage of the Past," Advertising Age, Apr. 11, 1983, pp. M36-37.</p> <p>Allen & Hamilton, How to Buy information with a First Virtual Account, Apr. 11, 1994, pp. 3-71.</p> <p>American National Standard: "Financial Institution Retail Message Authentication"; ANSI X9.19: 1986.</p> <p>American National Standard: "Interchange Message Specification for Debit and Credit Card Message Exchange Among Financial Institutions"; ANSI X9.2: 1988.</p> <p>Anderson, Ross J.; "UEPS-A Second Generation Electronic Wallet"; Proc. of the Second European Symposium on Research in Computer Security (ESORICS); Toulouse, France; pp. 411-418, no date.</p> <p>Bender, M.; "EFTS: Electronic Funds Transfer Systems"; Kennikat Press: Port Washington, New York; pp. 43-46; 1975.</p> <p>Beutelspacher, et al, "Payment Applications with Multifunctional Smart Cards," Smart Card 2000: The Future of IC Cards, Oct. 1987, pp. 95-101.</p> <p>Bos et al.; "SmartCash: A Practical Electronic Payment System"; pp. 1-8; Aug. 1990.</p> <p>Burk et al.; "Value Exchange Systems Enabling Security and Unobservability"; Computers & Security, 9; pp. 715-721; 1990.</p> <p>Burk, et al, "Digital Payment Systems Enabling Security and Observability," Computers & Security, 1989, pp. 399-415.</p>					
Examiner Signature				Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/548235
				Filing Date	April 12, 2000
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
				Attorney Docket Number	432383600011
Sheet	5	of	8		
Case Study: The CIRRUS Banking Network; Comm. ACM 8, 28' pp. 797-8078; Aug., 1985.					
CCITT Blue Book, vol. VIII, pp. 48-81, Nov. 14-25, 1988.					
Chaum et al.; "Untraceable Electronic Cash"; Advances in Cryptology, pp. 319-327; 1988.					
Cohen, Danny; "Computerized Commerce"; ISI Reprint Series IS/RS-89-243; Oct., 1989; Reprinted from Information Processing 89, Proceedings of the IFIP World Computer Congress, held Aug. 28-Sep. 1 1989.					
Cohen, Danny; "Electronic Commerce"; University of Southern California Information Sciences Institute, Research Report ISI/RR-89-244; Oct., 1989.					
Compuserve International; Compuserve Information Service Users Guide, pp. 109-114; 1986.					
Computer Fraud & Security Bulletin, "Underlying Security Mechanisms," Mar. 1997.					
Computer Shopper; "Internet for Profit", pp. 180-182, 187, 190-192, 522-528, 532, 534; Nov., 1994.					
Consumers Plugging Into New Electronic Mall, Advertising Age, Mar. 4, 1985, p. 74.					
Damgard, "Payment Systems and Credential Mechanisms with Provable Security Against Abuse by Individuals," Advances in Cryptology--CRYPTO '88, 1988, pp. 328-325.					
Davies, D.W. and Price, W.L.; "Security for Computer Networks: An Introduction to Data Security in Teleprocessing and Electronic Funds Transfer"; John Wiley & Sons; Dec. 5, 1985; pp. 304-336.					
Dukach, Semyon; "SNPP: A Simple Network Payment Protocol"; MIT Laboratory for Computer Science; Cambridge.					
Even et al.; "Electronic Wallet"; pp. 383-386; 1983.					
Ferrarini, "Direct Connections for Software Selections," Business Computer Systems, Feb. 1984, pp. 35-38.					
Fujloka, et al, "ESIGN: An Efficient Digital Signature Implementation for Smart Cards," Advances in Cryptology-Eurocrypt '91, Apr. 1991, pp. 446-457.					
Gifford, David K.; "Cryptographic Sealing for Information Secrecy and Authentication"; Stanford University and Xerox Palo Alto Research Center; Communications of the ACM; vol. 25, No. 4; Apr., 1982.					
Hakola, et al, "A System for Automatic Value Exchange," Proceedings--Fall Joint Computer Conference, 1966, pp. 579-589.					
Hartv et al.; "Case Study: The VISA Transaction Processing System"; 1988.					
Information Network Institute, Carnegie Mellon University; Internet Billing Server; Prototype Scope Document; Oct. 14, 1993.					
International Organization for Standardization; "International Standard: Bank Card Originated Messages--Interchange Message Specifications--Content for Financial Transactions"; ISO 8583; 1987.					
Intuit Corp Quicken User's Guide; "Paying Bills Electronically"; pp. 171-192, no date.					
Jansson, Lennart; "General Electronic Payment System"; 7th Proceedings of the International Conference on Computer Communication; pp. 832-837; 1985.					
Kenny, "EDI Security: Risks and Solutions," COMPSEC 1992; The Ninth World Conference on Computer Security, Audit, and Control, Nov. 1992, pp. 341-352.					
Knapkog, Privacy Protected Payments- Realization of a Protocol That Guarantees Payor Anonymity, Advances in Cryptology-Eurocrypt '88, May 1988, pp. 107-122.					
Krajewski, M. et al.; "Applicability of Smart Cards to Network User Authentication"; Computing Systems; vol. 7, No. 1; 1994.					
Krajewski, M.; "Concept for a Smart Card Kerberos"; 15th National Computer Security Conference; Oct., 1992.					
Krajewski, M.; "Smart Card Augmentation of Kerberos"; Privacy and Security Research Group Workshop on Network and Distributed System Security; Feb., 1993.					
Lai et al., "Endorsements, Licensing, and Insurance for Distributed System Services", Information Sciences Institute Univ. of Southern CA., Assoc. for Computing Machinery 1994.					
Low et al., "Anonymous Credit Cards", pp. 1-16.					
Medvinsky et al.; "Electronic Currency for the Internet"; Electronic Markets; pp. 30-31, Sep., 1993.					
Medvinsky et al.; "NetCash: A Design for Practical Electronic Currency on the Internet"; Proc. 1st ACM Conf. on Comp. and Comm. Security; Nov., 1993.					
Messmer, "NIST Stumbles on Proposal for Public Key Encryption," Network World, Jul. 27, 1992, p. 1.					
National Westminster Bank Group; "Clearing House Automated Payments System"; pp. 1-29, no date.					
Examiner Signature				Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *Applicant is to place a check mark here if English language translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/548235
				Filing Date	April 12, 2000
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
Sheet	6	of	8	Attorney Docket Number	432383600011
		Hirschfeld, Rafael "Making Electronic Refunds Safer"; Sections 1, 2, 4 and 6, 1992. Needham, Roger M., "Adding Capability Access to Conventional File Servers"; Xerox Palo Alto Research Center; Palo Alto, California; no date. Okamoto et al., "Universal Electronic Cash"; pp. 324-337; 1991. P. Remeery et al., "Le Paiement electronique", pp. 15-23, 1988, L'Echo des RECHERCHES, No. 134. Payment Systems, "United States"; pp. 115-135, no date. Perry, "Electronic Banking Goes to Market," IEEE Spectrum, Feb. 1988, pp. 46-49. Pfitzmann et al., "How to Break and Repair a 'Provably Secure' Untraceable Payment System"; pp. 338-350; 1991. Ph. van Heurck, "TRASEC: Belgian Security System for Electronic Funds Transfers," Computers & Security, 1987, pp. 261-268. Pongratz, et al, "IC Cards in Videotex Systems," Smart Card 2000, 1989, pp. 179-186. Rivest, R.L. et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems," Laboratory for Computer Science, Massachusetts Institute of Technology, Cambridge, Massachusetts, no date. Schamuller-Bichl, I., "IC-Cards in High-Security Applications"; Selected Papers from the Smart Card 2000 Conference; Springer Verlag; pp. 177-199; 1991. Shain, "Security in Electronic Funds Transfer System," Computers & Security, 1989, pp. 123-137. Sirbu, Marvin A., "Internet Billing Service Design and Prototype Implementation"; An Internet Billing Server; pp. 1-19; 1993. Society for Worldwide Interbank Financial Telecommunications S.C.; "A S.W.I.F.T. Overview", no date. Staskauskas, "The Formal Specification and Design of a Distributed Electronic Funds Transfer System," IEEE Transactions on Computers, Dec. 1998, pp. 1515-1528. Stol, Privacy Protected Payments-A Possible Structure for a Real Implementaiton and Some Resource Considerations, Feb. 1998. Strazewski, "Computerized Service Sets Shoppers Hacking," Advertising Age, Feb. 22, 1988, p. 62. Takei, "Videotex Information System and Credit System Connecting with MARS-301 of JNR," Japanese Railway Engineering, No. 94, Sep. 1985, pp. 9-11. Tanaka, et al, "Untraceable Electronic Funds Transfer System," Electronics and Communications in Japan, 1989, pp. 47-54. Tenenbaum, Jay M. and Schiffman, Allan M., "Development of Network Infrastructure and Services for Rapid Acquisition"; adapted from a white paper submitted to DARPA by MCC in collaboration with EIT and ISI. Tunstall, "Electronic Currency," Smart Card 2000: The future of IC Cards, Oct. 1987, pp. 47-48. Viescas, "Official Guide to the Prodigy Service" 1991. Vital, J. "Active Message Processing: Messages as Messengers"; pp. 175-195; 1981. Waidner, et al, "Loss-Tolerance for Electronic Wallets," Fault-Tolerant Computing: 20th International Symposium, Jun. 1990, pp. 140-147. Weber, "Controls in Electronic Funds Transfer System," Computers & Security, 1989, pp. 209-221. Williams, "Debit Program Cuts Fraud; CompuServe Plan a Success," Pensions & Investment Age, Feb. 4, 1985, pp. 21-32. "Announcing: Internet Shopkeeper" (8/2/1994) posting on comp.infosystems.www and misc.forsale "Net Market ("Numerous News Media Stories" (4/94)) NY TIMES, front page of bus. "Welcome First Time Visitors" "What's New," http://archive.ncsa.uiuc.edu/SDG/Software/Mosaic/Docs/old-whats-new/whats-new-0294.html Archive of WWWorder mailing list (6/18/1994 - 6/13/1994)			
Examiner Signature				Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/548235
				Filing Date	April 12, 2000
				First Named Inventor	Levergood et al.
				Art Unit	2145
				Examiner Name	Patrice L. Winder
Sheet	7	of	8	Attorney Docket Number	432383600011
<p>Ariel Poler, I/PRO 2nd WWW Conference, Chicago, IL (10/94) (Presentation)</p> <p>Batelaan; Butler; Chan; Chen; Evenchick; Hughes; Jen; Jeng; Millett; Riccio; Skoudis; Starace; Stoddard; "An Internet Billing Server Prototype Design"; Carnegie Mellon University; 1992</p> <p>Berners-Lee, T., "draft-ietf-iiir-http-00.txt" (Nov. 5, 1993)</p> <p>Berners-Lee, T., et al. RFC 1738: Uniform Resource Locators</p> <p>Berners-Lee, T., et al. RFC 1945: Hypertext Transfer Protocol -HTTP/1.0</p> <p>Berners-Lee, T., et al., http://www.ietf.org/rfc/rfc1738.txt?numbers=1738</p> <p>Berners-Lee, T., RFC 1630: Universal Resource Identifiers in WWW: A Unifying Syntax for the Expression of Names and Addresses of Objects on the Network as used in the World-Wide Web</p> <p>Bieber, Michael, "Issues in Modeling a 'Dynamic' Hypertext Interface for Non-Hypertext Systems"</p> <p>Biznet Technologies, Versatile Virtual Vending, published at http://www.bnl.com (Sept. 12, 1994).</p> <p>Buhle, Jr., E. Loren, "Wide Area Info Services," Digital Systems J., Sept-Oct, 1994, p. 13.</p> <p>Business Wire, June 26, 1995, "CommerceNet Urges Government to Ease Export Restrictions on Encryption Products; Consortium's New White Paper Articulates Position on the Export of Cryptography Based Products"</p> <p>Changes to wwwStat at http://ftp.ics.uci.edu/pub/websoft/wwwstat/Changes</p> <p>Comer, D., et al., "The Tilde File Naming Scheme," pp. 509-514, 6th International Conference on Distributed Computing Systems, IEEE Comp. Society, Cambridge NH May 1986.</p> <p>Comer, D.E., et al., "A Model of Name resolution in Distributed Systems," pp. 520-530, 6th International Conference on Distributed Computing Systems, IEEE Comp. Society, Cambridge NH May 1986</p> <p>Compuserve Manual (undated)</p> <p>Crocker, Glenn, "web2mush: Serving Interactive Resources to the Web," Electronic Proc. of the 2nd World Wide Web Conf. '94: Mosaic and the Web!, Developers Day, (Oct. 20, 1994)</p> <p>Dukach, Seymon; Prototype Implementation of the SNPP Protocol; allspic.ics.mit.edu; 1992.</p> <p>EASYS SABRE User's Guide and EASYS SABRE Reference Guide</p> <p>Ferrarini, E., "Flight of Fancy: Goodbye Travel Agent", Business Computer Systems, vol. 2, No. 11, pp. 39-40, Nov. 1993.</p> <p>Fielding, R., et al. RFC 2068: Hypertext Transfer Protocol-HTTP/1.1</p> <p>Fielding, R., et al. RFC 2616: Hypertext Transfer Protocol-HTTP/1.1</p> <p>Fielding, R., RFC 1808: Relative Uniform Resource Locators</p> <p>Fielding, Roy, et al., "Principled Design of the Modern Web Architecture" ACM Transactions on Internet Technology 2, 2 pp. 115-150 (May 2002)</p> <p>Foster, David & Stuart Finn, "Insurers Can Benefit From E-Mail Networks", National Underwriter Property & Casualty-Risk & Benefits Management, No. 9, p. 46(2), Mar. 4, 1991.</p> <p>Gifford, Stewart, Payne, Treese, "Payment Switches for Open Networks," presented at 40th IEEE, IEEE, COMPCON '95, Mar. 5-9, 1995, San Francisco, CA.</p> <p>Hughes, Kevin, source code file for the HTTP log file analysis program, getstats v1.0, dated February 1, 1994, published at http://eit.com/software/getstats/getstats.html - Version 1, 64 pages.</p> <p>Hughes, Kevin, source code file for the HTTP log file analysis program, getstats v1.0, dated February 1, 1994, published at http://eit.com/software/getstats/getstats.html - Version 2, 64 pages.</p> <p>Leggett, John et al., "Hyperform: Using Extensibility to Develop Dynamic, Open and Distributed Hypertext Systems" (1992)</p> <p>Nielson, Jacob, Hypertext & Hypermedia (1990)</p> <p>Maren, Michael, "The Age of E-Mail," Home Office Computing, vol. 11, No. 12, p. 63(5), Dec. 1993.</p>					
Examiner Signature				Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(use as many sheets as necessary)

Complete if Known

Application Number	09/548235
Filing Date	April 12, 2000
First Named Inventor	Levergood, et al.
Art Unit	2145
Examiner Name	Patrice L. Winder
Attorney Docket Number	432383600011

Sheet	8	of	8
-------	---	----	---

NCSA HTTPd release notes at <http://hoochoo.ncsa.uiuc.edu/docs/Upgrade.html> (last updated 8/1/1995)

O'Mahony, Donald, Michael Peirce, & Hitesh Tewari, Electronic Payment Systems, Artech House, Inc., pp. 145-155, Jan. 1997.

Smithson, Brain, and Singer, Barbara, An Information Clearinghouse Server for Industry Consortia, 2nd Int'l Conf. On the World Wide Web, Chicago, Ill., Oct. 1994.

Stallings, William, Data & Computer Communications, MacMillan Publishing, 1985, pp. 245-252.

The Major BBS: Collection of information and Advertisements concerning The Major BBS (Fall 1993)

Trip et al., "Cookies" (client-side persistent information) and their use, Netscape Technical Note 20019, Netscape Communications Corp., October 1995.

wwwStat Readme file at <http://ftp.ics.uci.edu/pub/websoft/wwwstat/README>

www talk mailing list: Troth message September 15, 1994

Examiner
Signature

Date
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Levergood et al.
Appl. No.: 09/548,235
Conf. No.: 6069
Filed: April 12, 2000
Title: WEB ADVERTISING METHOD
Art Unit: 2155
Examiner: Patrice L. Winder
Docket No.: 115274-017

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents are enclosed.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,484,304	November 20, 1984	Anderson et al.
4,566,078	January 21, 1986	Crabtree
4,578,530	March 25, 1996	Zeidler
4,941,089	July 10, 1990	Fischer
4,977,595	December 11, 1990	Ohta et al.
5,035,515	July 30, 1991	Crossman et al.
5,204,947	April 20, 1993	Bernstein et al.
5,297,249	March 22, 1994	Bernstein et al.
5,309,437	May 3, 1994	Perlman et al.
5,325,362	June 28, 1994	Aziz
5,347,632	September 13, 1994	Filepp et al.
5,351,293	September 27, 2004	Michener et al.

5,353,283	October 4, 1994	Tsuchiya
5,388,257	February 7, 1995	Bauer
5,457,738	October 10, 1995	Sylvan
5,483,652	January 9, 1996	Sudama et al.
5,491,820	February 13, 1996	Belove et al.
5,530,852	June 25, 1996	Meske Jr., et al.
5,544,320	August 6, 1996	Konrad
5,544,322	August 6, 1996	Cheng et al.
5,550,984	August 27, 1996	Gelb
5,560,008	September 24, 1996	Johnson et at.
5,577,209	November 19, 1996	Boyle et al.
5,583,996	December 10, 1996	Tsuchiya
5,619,648	April 8, 1997	Canale et al.
5,623,656	April 22, 1997	Lyons
5,675,507	October 7, 1997	Bobo, II
5,710,884	January 20, 1998	Dedrick
5,715,314	February 3, 1998	Payne et al.
5,724,521	March 3, 1998	Dedrick
5,732,219	March 24, 1998	Blummer et al.
5,761,662	June 2, 1998	Dasan
5,768,142	June 16, 1998	Jacobs
5,768,521	June 16, 1998	Dedrick
5,774,670	June 30, 1998	Montulti
5,784,565	July 21, 1998	Lewine
5,790,793	August 4, 1998	Higley
5,806,077	September 8, 1998	Wecker
5,812,776	September 22, 1998	Gifford
5,826,242	October 20, 1998	Montulli
5,848,413	December 8, 1998	Wolff

5,870,552	February 9, 1999	Dozier et al.
5,895,454	April 20, 1999	Harrington
5,982,891	November 9, 1999	Ginter et al.
6,134,592	October 17, 2000	Montulli

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0456920	November 21, 1991	EPO
EP 0542298 B1	May 19, 1993	EPO
WO 94/03859	February 17, 1994	PCT
EP 0645688	March 29, 1995	EPO

OTHER DOCUMENTS

Bina, E., et al., "Secure Access to Data Over the Internet," 1994 IEEE. pp. 99-102.

Kiuchi, T., et al., "C-HTTP-The Development of a Secure, Closed HTTP-Based Network on the Internet," 1996 IEEE, pp. 64-75.

Berners-Lee, T, et al., "Target a Common Internet Syntax Where the User Password is Appended to a Specific URL," <http://www.ietf.org/rfc/rfc1738.txt?number=1738>.

57 USPQ2D, "Amazon.com, Inc. v. Barnesandnoble.com, Inc." pp. 1746-1763.

Pitkow, J.E., "Webviz: A Tool for World-Wide Web Access Log Analysis" First International World Wide Web Conf., May 1994, 7 pgs.

Lim, Jong-Gyun, "Using_Coollists to Index HTML Documents in the Web."
<http://www.ncsa.uiuc.edu/SDG/TT94/Proceedings/Searching/lim/coollist.htm>, pp. 1-8.

Sedayao, J., "Mosaic Will Kill My Network! - Studying Network Traffic Patterns of Mosaic Use", http://www.ncsa.uiuc.edu/SDG/TT94/P...gs/DDay/sedayao/mos_traf_paper.htm.

Catiedge, L.D., "Characterizing Browsing Strategies in the World-Wide Web," http://www.igd.thg.de/archive/1995_.../UserPatterns.Paper4.formatted.htm.

Menefee, C. "New host for Internet Commercial Site Index," Newsbytes November 9, 1994, p. 15.

Michalski, J., "Content in context: the Future of. SGML and HTML, " Release 1.0, September 27, 1994, pp 1-10.

Metcalf, R.M., "Commercialization of the Internet Opens Gateways to Interpreneurs," InfoWorld, August 8, 1994 p. 44.

"maX.500-a Macintosh X.500 Directory Client", contents of WWW website, <http://www.umich.edu/-dirsvcs/ldap/max500/index.html> as of 7/7/1997.

Droms, R.E., "Access to Heterogenous Directory Services," Proceedings IEEE INFOCOM '90, PP. 1054-1061, San Francisco, CA, June 3-7, 1990.

Good, B., "Experience with Bank of America's Distributive Computing System," pp. 2-8, IEEE 1983.

Inselberg, A., "An Approach to Successful Online Transaction Processing Applications," AFIPS Conference Proceedings, 1985 National Computer Conference, pp. 419-427, Chicago, IL, July 15-18, 1985.

Bowman, et al., "Univers: An Attribute-Based Name Server," Software Practice and Experience, Vol. 20(4) 403-424, April 1991.

NCSA HTTPd 1.5 Beta How to Redirect, "The New Redirect Directives."

Housel, B.G., et al., "SNA Distribution Services," IBM Systems Journal pp_ 319.343, Vol. 22, No. 4, 1983.

Zatti, et al., "Naming and Registration for IBM Distributed Systems," IBM Systems Journal, pp. 353-380, Vol. 31, No. 2, 1992.

Welch, B., et al., "Prefix Tables: A Simple Mechanism for Locating Files in a Distributed System," pp. 184-189, 6th International Conference on Distributed Computing Systems, IEEE Computer Society, Cambridge, MA. May 1996.

Schwartz, et al., "A Name Service for Evolving, Heterogeneous Systems," Proceedings of the 11th ACM Symposium on Operating Systems Principles, Vol. 21, No. 5, pp 52-62, Austin TX, November, 1987.

Hitchens, M., et al., "Bindings Between Names and Objects in a Persistent System." Proceedings of the 2nd International Workshop on Object Orientation in Operating Systems, IEEE Computer Society, pp. 26-37, Dourdan, FR, September 1992.

Sheltzer, et al., "Name Service Locality and Cache Design in a Distributed Operating System," University of California, Los Angeles, 8 pgs.

Andrade, et al., "Open On-Line Transaction Processing with the TUXEDO System," pp. 366-371. Digest of Papers, IEEE Computer Society Press, COMPSON Spring 1992, San Francisco, California.

- Terry, D.B., "Structure-free Name Management for Evolving Distributed Envrivonmeretts," pp. 502-508, 6th International Conference on Distributed Computing Systems, IEEE Computer Society, Cambridge, MA, May, 1986.
- Cheriton D.R., et al., "Uniform Access to Distributed Name Interpretation in the V-System," pp. 290-297, 4th International Conference on Distributed Computing System IEEE Computer Society, San Francisco, CA, May, 1984.
- Lampson, B.W., "Designing a Global Name Service," pp. 1-10, Proceedings of the 5th Annual ACM Symposium on Principles of Distributed Computing ACM, Calgary, Alberta, Canada, August 1986.
- Curtis, R., et al., "Naming in Distributed Language Systems," pp. 298-302, 4th International Conference on Distributed Computing Systems. IEEE Computer Society, San Franscco, CA May, 1984.
- Squillante, M.C., et al., Integrating Heterogeneous Local Mail Systems," pp. 59-67, IEEE Software, November 1989.
- Schwartz, M.F., et al., Experience with a Semantically Cognizant Internet White Pages Directory Tool," Journal of Internetworking: Research and Experience, pp. 1-22 (1990).
- Ordille, J.J., et al., "Nomenclater Descriptive Query Optimization for Large X.500 Environments, " pp. 185-196, SIGCOM 91 Conference, Communication Architectures & Protocols, Vol. 21, No 4. Zurich Switzerland, September 1991.
- Notkin, D., "Proxies: A Software Structure for Accomodating Hetergeneity," Software-Practice and Experience, Vol. 20(4), 357-364, April 1990.
- Bjom N. Freeman-Benson, "Using the Web to Provide Private Information," First International Conference on the World Wide Web WWW 94, May 1994, 5 pages.
- Chaum, D., "Achieving Electronic Privacy, Scientific American, August 1992, pp 96-101.
- Anderson, R., "Why Cryptosystems Fail," 1st Conf.-Computer & Comm. Security, 1993-11/93-VA, USA, pp 215 227.
- Abadi M., et al., "Authentication and Delegation with Smart-Cards," October 1990, Chapter 67.
- Rivest, R., "The MD5 Message-Digest Algorithm." MIT Laboratory for Computer Science and RSA Data Security, Inc., April 1992.
- Voydock V., et al.. "Security Mechanisms in High Level Network Protocols," Computing Surveys, Vol. 15, No. 2, June 1983 pp. 135-171.

- Gligor, V.D., "Object Migration and Authentication," IEEE Transactions of Software Engineering, Vol. SE-5, No. 6, November 1979, pp. 607-611.
- "Mosaic Communications Unveils Network Navigator and Server Software for the Internet," Mosaic Communications Press Release, September 1994.
- Rescorta, E., et al., "The Secure HyperTest Transfer Protocol," August 1999.
- Lou Montulli, Electronic Mail to Multiple Recipients of the www-talk list (www-talk.1995q2/0134.html on "Session Tracking" (omi.mail.www-talk, April 18, 1995).
- Ramanathan, Sirivivas, et al., "Architectures for Personalized Multimedia," IEEE Multimedia, Vol. 1, No. 1, Computer Society, pp 37-46, 1994.
- Choudhury, Abhijit K., et al., "Copyright Protection for Electronic Publishing Over Computer Networks," IEEE Network, The Magazine of Computer Communications, Vol. 9, No. 3, pp. 12-20, May 1995.
- "Persistent Client State HTTP Cookies," http://search.netscape.com/newsref/std/cookie_spec.html (1/9/98).
- "HTTP State Management Mechanism," <http://www.internic.net/rfc/rfc2109.txt> (1/9/98) - <http://www.cse.ohio-state.edu/cgi-bin/rfc/rfc2965.html>.
- Pitkow, J.E., and Recker, M.M., "Using the Web as a Survey Tool: Results from Second WWW User Survey,": http://www.igd.fhg.de/archive/1995/www95/paoers/79/survey/survey_2_paper.html.
- Peterson, Larry L, "A Yellow-Pages Service for a Local-Area Network," ACM Proceedings of the ACM SIGCOMM 87 Workshop, ACM Press, 1988, Pages 235-242.
- Kahan, Jose, "Distributed Authorization Model for WWW," <http://www.isoc.org/HMP/PAPER/107/html/paper.html>. pp 1-16.
- Kahan, Jose, "Un nouveau modele d-autorisation pour les systemes de consultation d-information multimedia repartee," pp. 45-57.
- Kahan, Jose, "A New Authorization Model for Distributed Multimedia Information Consultation Systems," English Translation, pp. 1-21.
- Berners-Lee, T., et al., <http://www.ietf.org/rfc/rfc1738.txt?numbers=178>.
- Gary Welz, "The Media Business on the WWW", Proceedings of the Second World Wide Web Conference 1994: Mosaic and the Web, October 1994, 6 pages.

Clickstream. October 1996, The word Spy, [<http://www.wordspy.com/words/clickstream.asp>], 2 pages.

Bob Novick, (9503) Internet Marketing: The Clickstream, March 1995, [<http://www.i-m.com/archives/9503/0375.html>] 3 pages.

Brian W. Kernighan and Dennis M. Ritchie, "The C Programming Language" second edition, AT&T Bell Laboratories, (N.J., Prentice Hall) pp.17-21 (1998).

Computer and Business Equipment Manufacturers Association, "American National Standard for Information Systems-Database Language SQL" (N.Y., American National Standards Institute) pp. 27-28 (1986).

Aho, A.V., et al., "Reports and Databases." In the AWK Programming Language, M.A. Harrison, ed., (Addison-Wesley), pp. 100-101 (1988).

Kelley, A., and Pohl, I., "Arrays, Strings, and Pointers." In a Book on C, A. Apt, ed., (the Benjamin/Cummings Publishing Company, Inc.,) pp. 35-37 (1984).

WordPerfect for Macintosh", pp. 153-162 (1990).

Complaint for Patent Infringement filed January 12, 2004.

Amazon.com's Answer, Affirmative Defenses, and Counterclaims to Soverain Software's Complaint filed March 9, 2004.

Amazon.com's Response to Plaintiffs First Set of Interrogatories filed June 11, 2004.

Soverain's Responses and Objections to Amazon.com's First Set of Interrogatories (Nos 1-14) filed June 11, 2004.

Disclosure of Preliminary Invalidity Contentions by Defendants Amazon.com and the Gap (with Exhibit A) filed July 6, 2004.

Amazon's Motion for Partial Summary Judgment of Non-Infringement ('780 Patent), and Memorandum in Support Thereof filed July 15, 2005.

Soverain's Supplemental Responses to Amazon.com's First Set of Interrogatories (nos. 1-14) filed August 13, 2004.

Soverain's Second Supplemental Response to Amazon.com's First Set of Interrogatories (Nos. 1-14) filed September 21, 2004.

Soverain's Third Supplemental Response to Amazon.com's First Set of Interrogatories (Nos. 1-14).

Soverain 's Opposition to Defendant Amazon.com's Motion for Partial Summary Judgement of Non-Infringement (780 Patent) and Supporting Memorandum; Declaration of Jack Grimes.

Amazon.com's Reply in Support of its Motion for Partial Summary Judgment of Non-Infringement (780 Patent) filed August 19, 2004; Declaration of John Vandenberg.

Soverain's Surreply to Amazon.com's Motion for Partial Summary Judgment of Non-Infringement (780 Patent) filed August 23, 2004 and Supplemental Declaration of Jack D. Grimes Ph.D. filed August 23, 2004.

Soverain's Preliminary Claim Construction (Patent Local Rule 4-2) filed September 2, 2004.

Joint Disclosure of Preliminary Claim Construction and Extrinsic Evidence by Defendants Amazon.com and the Gap (with Exhibits A-B) filed September 2, 2004.

Joint Claim Construction and Prehearing Statement* (Patent Local Rule 43) (with Exhibits A-D) filed October 4 2004.

Amazon.com's First Amended Answer, Affirmative Defenses, and Counterclaims to Soverain's Complaint filed October 6, 2004.

Declaration of Jack D. Grimes Ph.D. dated November 15, 2004.

Soverain's Claim Construction Brief Pursuant to Patent Rule 4-5(a) dated November 16, 2004.

Declaration of Dr. Richard N. Taylor in Support of Defendant's Markman Brief dated November 29, 2004.

Joint Claim Construction Brief of Amazon.com and Gap dated November 30, 2004.

Soverain's Claim Construction Reply Brief Pursuant to Patent Rule 4-5(c) dated December 7, 2004.

Neuman, B.C., "Proxy-Based Authorization and Accounting for Distributed Systems," Proceedings on the 13th International Conference on Distributed Computing Systems, Pittsburg, May 1993, pp.283-291.

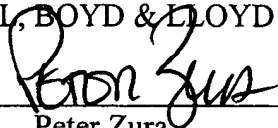
Kahan, Jose, "A capability-based authorization model for the World-Wide Web," http://www.igd.fhg.de/archive/1995_www95/proceedings/papers/86/CAMWWW.html.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY


Peter Zura

Reg. No.48,196

P.O. Box 1135

Chicago, Illinois 60690-1135

Phone: (312) 807-4208

Dated: March 24, 2005

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 115274-017	Application No. 09/548,235
	Applicant Levergood et al.	
	Filing Date April 12, 2000	Group 2155

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		4,484,304	November 20, 1984	Anderson et al.			
		4,566,078	January 21, 1986	Crabtree			
		4,578,530	March 25, 1996	Zeidler			
		4,941,089	July 10, 1990	Fischer			
		4,977,595	December 11, 1990	Ohta et al.			
		5,035,515	July 30, 1991	Crossman et al.			
		5,204,947	April 20, 1993	Bernstein et al.			
		5,297,249	March 22, 1994	Bernstein et al.			
		5,309,437	May 3, 1994	Perlman et al.			
		5,325,362	June 28, 1994	Aziz			
		5,347,632	September 13, 1994	Filepp et al.			
		5,351,293	September 27, 2004	Michener et al.			
		5,353,283	October 4, 1994	Tsuchiya			
		5,388,257	February 7, 1995	Bauer			
		5,457,738	October 10, 1995	Sylvan			
		5,483,652	January 9, 1996	Sudama et al.			
		5,491,820	February 13, 1996	Belove et al.			
		5,530,852	June 25, 1996	Meske Jr., et al.			
		5,544,320	August 6, 1996	Konrad			
		5,544,322	August 6, 1996	Cheng et al.			
		5,550,984	August 27, 1996	Gelb			
		5,560,008	September 24, 1996	Johnson et al.			
		5,577,209	November 19, 1996	Boyle et al.			
		5,583,996	December 10, 1996	Tsuchiya			
		5,619,648	April 8, 1997	Canale et al.			
		5,623,656	April 22, 1997	Lyons			
		5,675,507	October 7, 1997	Bobo, II			
		5,710,884	January 20, 1998	Dedrick			
		5,715,314	February 3, 1998	Payne et al.			
		5,724,521	March 3, 1998	Dedrick			
		5,732,219	March 24, 1998	Blummer et al.			
		5,761,662	June 2, 1998	Dasan			
		5,768,142	June 16, 1998	Jacobs			
		5,768,521	June 16, 1998	Dedrick			
		5,774,670	June 30, 1998	Montulti			
		5,784,565	July 21, 1998	Lewine			
		5,790,793	August 4, 1998	Higley			
		5,806,077	September 8, 1998	Wecker			
		5,812,776	September 22, 1998	Gifford			
		5,826,242	October 20, 1998	Montulli			
		5,848,413	December 8, 1998	Wolff			
		5,870,552	February 9, 1999	Dozier et al.			
		5,895,454	April 20, 1999	Harrington			

Examiner:

Date Considered:

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 115274-017	Application No. 09/548,235
	Applicant Levergood et al.	
	Filing Date April 12, 2000	Group 2155

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,982,891	November 9, 1999	Ginter et al.			
	6,134,592	October 17, 2000	Montulli			

FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	EP 0456920	November 21, 1991	EPO				
	EP 0542298 B1	May 19, 1993	EPO				
	WO 94/03859	February 17, 1994	PCT				
	EP 0645688	March 29, 1995	EPO				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Bina, E., et al., "Secure Access to Data Over the Internet," 1994 IEEE. pp. 99-102.
	Kiuchi, T., et al., "C-HTTP-The Development of a Secure, Closed HTTP-Based Network on the Internet," 1996 IEEE, pp. 64-75.
	Bemmers-Lee, T, et al., "Target a Common Internet Syntax Where the User Password is Appended to a Specific URL," http://www.ietf.org/rfc/rfc1738.txt?number=1738 .
	57 USPQ2D, "Amazon.com, Inc. v. Barnesandnoble.com, Inc." pp. 1746-1763.
	Pitkow, J.E., "Webviz: A Tool for World-Wide Web Access Log Analysis" First International World Wide Web Conf., May 1994, 7 pgs.
	Lim, Jong-Gyun, "Using_Coollists to Index HTML Documents in the Web." http://www.ncsa.uiuc.edu/SDG/TT94/Proceedings/Searching/lim/coollist.htm , pp. 1-8.
	Sedayao, J., "Mosaic Will Kill My Network! - Studying Network Traffic Patterns of Mosaic Use", http://www.ncsa.uiuc.edu/SDG/TT94/P...gs/DDay/sedayao/mos_traf_paper.htm .
	Catiedge, L.D., "Characterizing Browsing Strategies in the World-Wide Web," http://www.igd.thg.de/archive/1995.../UserPatterns.Paper4.formatted.htm .
	Menefee, C. "New host for Internet Commercial Site Index," Newsbytes November 9, 1994, p. 15.
	Michalski, J., "Content in context: the Future of. SGML and HTML, " Release 1,0, September 27, 1994, pp 1-10.
	Metcalf, R.M., "Commercialization of the Internet Opens Gateways to Interpreneurs," InfoWorld, August 8, 1994 p. 44.
	"maX.500-a Macintosh X.500 Directory Client", contents of WWW website, http://www.umich.edu/~dirsvcs/ldap/max500/index.html as of 7/7/1997.
	Droms, R.E., "Access to Heterogenous Directory Services," Proceedings IEEE INFOCOM '90, PP. 1054-1061, San Francisco, CA, June 3-7, 1990.
	Good, B., "Experience with Bank of America's Distributive Computing System," pp. 2-8, IEEE 1983.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 115274-017	Application No. 09/548,235
	Applicant Levergood et al.	
	Filing Date April 12, 2000	Group 2155

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Inselberg, A., "An Approach to Successful Online Transaction Processing Applications," AFIPS Conference Proceedings, 1985 National Computer Conference, pp. 419-427, Chicago, IL, July 15-18, 1985.
	Bowman, et al., "Univers: An Attribute-Based Name Server," Software Practice and Experience, Vol. 20(4) 403-424, April 1991.
	NCSA HTTPd 1.5 Beta How to Redirect, "The New Redirect Directives."
	Housel, B.G., et al., "SNA Distribution Services, IBM Systems Journal pp. 319-343, Vol. 22, No. 4, 1983.
	Zatti, et al., "Naming and Registration for IBM Distributed Systems," IBM Systems Journal, pp. 353-380, Vol. 31, No. 2, 1992.
	Welch, B., et al., "Prefix Tables: A Simple Mechanism for Locating Files in a Distributed System," pp. 184-189, 6 th International Conference on Distributed Computing Systems, IEEE Computer Society, Cambridge, MA, May 1996.
	Schwartz, et al., "A Name Service for Evolving, Heterogeneous Systems," Proceedings of the 11 th ACM Symposium on Operating Systems Principles, Vol. 21, No. 5, pp 52-62, Austin TX, November, 1987.
	Hitchens, M., et al., "Bindings Between Names and Objects in a Persistent System." Proceedings of the 2 nd International Workshop on Object Orientation in Operating Systems, IEEE Computer Society, pp. 26-37, Dourdan, FR, September 1992.
	Sheltzer, et al., "Name Service Locality and Cache Design in a Distributed Operating System," University of California, Los Angeles, 8 pgs.
	Andrade, et al., "Open On-Line Transaction Processing with the TUXEDO System," pp. 366-371. Digest of Papers, IEEE Computer Society Press, COMPSON Spring 1992, San Francisco, California.
	Terry, D.B., "Structure-free Name Management for Evolving Distributed Environments," pp. 502-508, 6 th International Conference on Distributed Computing Systems, IEEE Computer Society, Cambridge, MA, May, 1986.
	Cheriton D.R., et al., "Uniform Access to Distributed Name Interpretation in the V-System," pp. 290-297, 4 th International Conference on Distributed Computing System IEEE Computer Society, San Francisco, CA, May, 1984.
	Lampson, B.W., "Designing a Global Name Service," pp. 1-10, Proceedings of the 5 th Annual ACM Symposium on Principles of Distributed Computing ACM, Calgary, Alberta, Canada, August 1986.
	Curtis, R., et al., "Naming in Distributed Language Systems," pp. 298-302, 4 th International Conference on Distributed Computing Systems. IEEE Computer Society, San Francisco, CA May, 1984.
	Squillante, M.C., et al., Integrating Heterogeneous Local Mail Systems, pp. 59-67, IEEE Software, November 1989.
	Schwartz, M.F., et al., Experience with a Semantically Cognizant Internet White Pages Directory Tool," Journal of Internetworking: Research and Experience, pp. 1-22 (1990).
	Ordille, J.J., et al., "Nomenclature Descriptive Query Optimization for Large X.500 Environments," pp. 185-196, SIGCOM 91 Conference, Communication Architectures & Protocols, Vol. 21, No 4. Zurich Switzerland, September 1991.
	Notkin, D., "Proxies: A Software Structure for Accomodating Heterogeneity," Software-Practice and Experience, Vol. 20(4), 357-364, April 1990.
	Bjom N. Freeman-Benson, "Using the Web to Provide Private Information," First International Conference on the World Wide Web WWW 94, May 1994, 5 pages.
	Chaum, D., "Achieving Electronic Privacy, Scientific American, August 1992, pp 96-101.
	Anderson, R., "Why Cryptosystems Fail," 1 st Conf.-Computer & Comm. Security, 1993-11/93-VA, USA, pp 215 227.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 115274-017	Application No. 09/548,235
	Applicant Levergood et al.	
	Filing Date April 12, 2000	Group 2155

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Abadi M., et al., "Authentication and Delegation with Smart-Cards," October 1990, Chapter 67.
	Rivest, R., "The MD5 Message-Digest Algorithm." MIT Laboratory for Computer Science and RSA Data Security, Inc., April 1992.
	Voydock V., et al., "Security Mechanisms in High Level Network Protocols," Computing Surveys, Vol. 15, No. 2, June 1983 pp. 135-171.
	Gligor, V.D., "Object Migration and Authentication," IEEE Transactions of Software Engineering, Vol. SE-5, No. 6, November 1979, pp. 607-611.
	"Mosaic Communications Unveils Network Navigator and Server Software for the Internet," Mosaic Communications Press Release, September 1994.
	Rescorta, E., et al., "The Secure HyperTest Transfer Protocol," August 1999.
	Lou Montulli, Electronic Mail to Multiple Recipients of the www-talk list (www-talk.1995q2/0134.html on "Session Tracking" (omi.mail.www-talk, April 18, 1995).
	Ramanathan, Sirvivas, et al., "Architectures for Personalized Multimedia," IEEE Multimedia, Vol. 1, No. 1, Computer Society, pp 37-46, 1994.
	Choudhury, Abhijit K., et al., "Copyright Protection for Electronic Publishing Over Computer Networks," IEEE Network, The Magazine of Computer Communications, Vol. 9, No. 3, pp. 12-20, May 1995.
	"Persistent Client State HTTP Cookies," http://search.netscape.com/newsref/std/cookie_spec.html (1/9/98).
	"HTTP State Management Mechanism," http://www.internic.net/rfc/rfc2109.txt (1/9/98) - http://www.cse.ohio-state.edu/cgi-bin/rfc/rfc2965.html .
	Pitkow, J.E., and Recker, M.M., "Using the Web as a Survey Tool: Results from Second WWW User Survey," http://www.igd.fhg.de/archive/1995_www95/paoers/79/survey/survey_2_paper.html .
	Peterson, Larry L., "A Yellow-Pages Service for a Local-Area Network," ACM Proceedings of the ACM SIGCOMM 87 Workshop, ACM Press, 1988, Pages 235-242.
	Kahan, Jose, "Distributed Authorization Model for WWW," http://www.isoc.org/HMP/PAPER/107/html/paper.html . pp 1-16.
	Kahan, Jose, "Un nouveau modele d-autorisation pour les systemes de consultation d-information multimedia repartee," pp. 45-57.
	Kahan, Jose, "A New Authorization Model for Distributed Multimedia Information Consultation Systems," English Translation, pp. 1-21.
	Berners-Lee, T., et al., http://www.ietf.org/rfc/rfc1738.txt?numbers=178 .
	Gary Welz, "The Media Business on the WWW", Proceedings of the Second World Wide Web Conference 1994: Mosaic and the Web, October 1994, 6 pages.
	Clickstream. October 1996, The word Spy, [http://www.wordspy.com/words/clickstream.asp], 2 pages.
	Bob Novick, (9503) Internet Marketing: The Clickstream, March 1995, [http://www.i-m.com/archives/9503/0375.html] 3 pages.
	Brian W. Kemighan and Dennis M. Ritchie, "The C Programming Language" second edition, AT&T Bell Laboratories, (N.J., Prentice Hall) pp.17-21 (1998).
	Computer and Business Equipment Manufacturers Association, "American National Standard for Information Systems-Database Language SQL" (N.Y., American National Standards Institute) pp. 27-28 (1986).
	Aho, A.V., et al., "Reports and Databases." In the AWK Programming Language, M.A. Harrison, ed., (Addison-Wesley), pp. 100-101 (1988).
	Kelley, A., and Pohl, I., "Arrays, Strings, and Pointers." In a Book on C, A. Apt, ed., (the Benjamin/Cummings Publishing Company, Inc.,) pp. 35-37 (1984).
	WordPerfect for Macintosh", pp. 153-162 (1990).

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 115274-017	Application No. 09/548,235
	Applicant Levergood et al.	
	Filing Date April 12, 2000	Group 2155

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Complaint for Patent Infringement filed January 12, 2004.
	Amazon.com's Answer, Affirmative Defenses, and Counterclaims to Soverain Software's Complaint filed March 9, 2004.
	Amazon.com's Response to Plaintiffs First Set of Interrogatories filed June 11, 2004.
	Soverain's Responses and Objections to Amazon.com's First Set of Interrogatories (Nos 1-14) filed June 11, 2004.
	Disclosure of Preliminary Invalidity Contentions by Defendants Amazon.com and the Gap (with Exhibit A) filed July 6, 2004.
	Amazon's Motion for Partial Summary Judgment of Non-Infringement (780 Patent), and Memorandum in Support Thereof filed July 15, 2005.
	Soverain's Supplemental Responses to Amazon.com's First Set of Interrogatories (nos. 1-14) filed August 13, 2004.
	Soverain's Second Supplemental Response to Amazon.com's First Set of Interrogatories (Nos. 1-14) filed September 21, 2004.
	Soverain's Third Supplemental Response to Amazon.com's First Set of Interrogatories (Nos. 1-14).
	Soverain's Opposition to Defendant Amazon.com's Motion for Partial Summary Judgment of Non-Infringement (780 Patent) and Supporting Memorandum; Declaration of Jack Grimes.
	Amazon.com's Reply in Support of its Motion for Partial Summary Judgment of Non-Infringement (780 Patent) filed August 19, 2004; Declaration of John Vandenberg.
	Soverain's Surreply to Amazon.com's Motion for Partial Summary Judgment of Non-Infringement (780 Patent) filed August 23, 2004 and Supplemental Declaration of Jack D. Grimes Ph.D. filed August 23, 2004.
	Soverain's Preliminary Claim Construction (Patent Local Rule 4-2) filed September 2, 2004.
	Joint Disclosure of Preliminary Claim Construction and Extrinsic Evidence by Defendants Amazon.com and the Gap (with Exhibits A-B) filed September 2, 2004.
	Joint Claim Construction and Prehearing Statement (Patent Local Rule 43) (with Exhibits A-D) filed October 4 2004.
	Amazon.com's First Amended Answer, Affirmative Defenses, and Counterclaims to Soverain's Complaint filed October 6, 2004.
	Declaration of Jack D. Grimes Ph.D. dated November 15, 2004.
	Soverain's Claim Construction Brief Pursuant to Patent Rule 4-5(a) dated November 16, 2004.
	Declaration of Dr. Richard N. Taylor in Support of Defendant's Markman Brief dated November 29, 2004.
	Joint Claim Construction Brief of Amazon.com and Gap dated November 30, 2004.
	Soverain's Claim Construction Reply Brief Pursuant to Patent Rule 4-5(c) dated December 7, 2004.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	